



UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 2
2120
#5
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FILE FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/868,752	09/04/2001	3713	990	05222.00165	16	18	

Andersen Consulting
L Keith Stephens
1661 Page Mill Road
Palo Alto, CA 94304RECEIVED
AUG - 1 2002
TECHNOLOGY CENTER R3700CONFIRMATION NO. 6533
FILING RECEIPT
OC000000006569322

Date Mailed: 09/18/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Mark Stewart Nichols, Downers Grove, IL;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/US99/02717 02/08/1999

Foreign Applications

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

Title

System, method and article of manufacture for a goal based flow of control presentation system

Preliminary Class

434

Data entry by : ORDENEZ, MARTA

Team : OIPE

Date: 09/18/2001

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

TC 3700041111
JUN 10 2010
RECEIVED
MOM

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

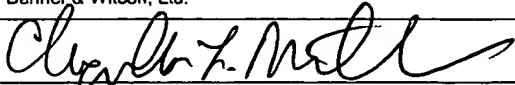
Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	09/868,752
		Filing Date	08 February 1999
		First Named Inventor	Nichols
		Group Art Unit	
		Examiner Name	
Total Number of Pages in This Submission	8	Attorney Docket Number	05222.00165

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Response to Notification of Missing Requirement under 35USC371 Copy of Combined Declaration and Power of Attorney filed in US Application No. 09/221,217
Remarks	The Commissioner is authorized to charge all fees required to Deposit Account No. 01-0850.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Charles L. Miller (Reg. No. 43,805) Banner & Witcoff, Ltd.
Signature	
Date	September 4, 2001

CERTIFICATE OF MAILING
I hereby certify that this correspondence is being deposited with the United States Postal Service, via Express Mail, in an envelope addressed to: BOX PCT - Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <div style="border: 1px solid black; padding: 5px; width: fit-content; margin: 10px auto;">September 4, 2001</div>

Typed or printed name			
Signature		Date	September 4, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 05222.00165)

In the Application of:

Nichols

Serial No.: 09/868,752

Filed: February 8, 1999

For: A System, Method and Article of Manufacture for
a Goal Based Flow of Control Presentation System

)
)
)
) Examiner:
) Deborah D. Williams
)
) Attn: DO/EO/US
)
)
)

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS
UNDER 35 USC 371

BOX PCT
Assistant Commissioner For Patents
Washington, D.C. 20231

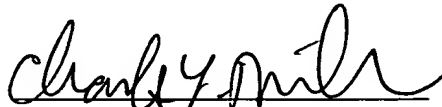
Sir:

This is in response to the Notification of Missing Requirements Under 35 USC 271 mailed on July 25, 2001. In order to complete the filing requirements, Applicants enclose herewith copies of the Oath and Declarations executed in U.S. Patent Application No. 09/221,217, in pursuance with the provisions of MPEP 602.05(a). U.S. Patent Application No. 09/221,217 is the parent application and contains the same inventive entity. Also enclosed is a copy of said Notification of Missing Requirements.

The Commissioner is authorized to charge all fees required to Deposit Account No. 01-0850.

Respectfully submitted,
BANNER & WITCOFF, LTD.

Date: September 4, 2001

By: 
Charles L. Miller
Reg. No. 43,805

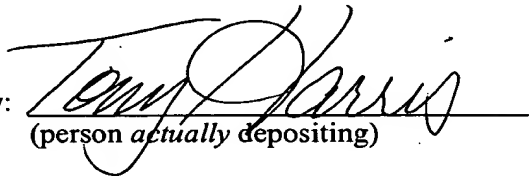
09/868752
531 Rec'd PCT 20 JUN 2001

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail No. EL735227905US

Deposited June 20, 2001

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box PCT, Attention: DO/EO/US, Washington, DC 20231.

By: 
(person actually depositing)

Patent Application of: NICHOLS, et al.

Title: A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE
FOR A GOAL BASED FLOW OF CONTROL PRESENTATION SYSTEM

☒ PTO Form 1390 - Transmittal Letter Concerning a Filing under 35USC371 (2 sheets)
☒ International Publication WO 00/38142
☒ International Preliminary Examination Report
☒ 2 Forms PCT/IB/306
☒ Certificate of Express Mailing
☒ Return Postcard

Attorney Docket No.: 05222.00165